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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/003,084

Filing Date November 2, 2001

First Named Inventor Joern Ostermann

Art Unit 2855

Examiner Name Minerva Rivera

Attorney Docket Number 2000-0600

ENCLOSURES (Check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/Declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/Incomplete Application☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

PTO-1449

6 Non-Patent References

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Isaacson, Irving, Stelacone & Prass, LLC

Signature

/Richard C. Irving/

Printed name

Richard C. Irving

Date

June 30, 2006

Reg. No.

38,499

CERTIFICATE OF TRANSMISSION/MAILING

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Guy Le

Date

June 30, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
Joern Ostermann : Confirmation No.: 5333
Serial No.: 10/003,094 : Attorney Ref.: 2000-0600
Filed: November 2, 2001 : Art Unit: 2655
FOR: METHOD FOR SENDING MULTI- : Examiner: Minerva Rivero
MEDIA MESSAGES WITH CUSTOMIZED :
AUDIO :

The Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference that is not a US Patent reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☐ 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. §1.97(b)). If a first office action was mailed before the filing of this IDS, please debit deposit account 502960 the necessary fees set forth in 37 C.F.R. §1.17(p) to proceed to consider the cited references.
- ☒ 2. This information disclosure statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under §1.53(d); more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application; after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. §1.97(c)).
- ☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(1)).

☐ b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(2)).

☒ c. Payment for the above is being paid by Credit Card, for payment of \$180 to cover the fee under 37 C.F.R. §1.17(p) and to ensure consideration of the disclosed information. However if necessary, the Commissioner for Patents is authorized to charge or credit the Law Office of Thomas M. Isaacson, Account No. 502960 for any deficiency or overpayment in order to process this IDS

☐ 3. This information disclosure statement is being filed after the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311, or an action which otherwise closes prosecution, whichever occurs first, but on or before payment of the Issue Fee. (37 C.F.R. §1.97(d)).

☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97(e)(1)).

☐ b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97(e)(2)).

☐ c. Please debit Deposit Account No. _____ in the amount of _____ to cover the fee under 37 C.F.R. §1.17(p) and ensure consideration of the disclosed information.

Respectfully submitted,

Date: June 30, 2006

By: /Richard C. Irving/

Correspondence Address:

Thomas A. Restaino
Reg. No. 33,444
AT&T Corp.
Room 2A-207, One AT&T Way
Bedminster, NJ 07921

Richard C. Irving
Attorney for Applicants
Reg. No. 38,499
Phone: 410-286-9405
Fax No.: 410-510-1433

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Application Number	10/003,094
Filing Date	November 2, 2001
First Named Inventor	Joern Ostermann
Art Unit	2855
Examiner Name	Minerva Rivero
Attorney Docket Number	2000-0600

U.S. PATENT DOCUMENTS

Document Number	Doc No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,219,638	4-2001	Padmanabhan et al.	
		US- 6,801,931	10-2004	Ramesh et al.	
		US- 6,782,431	8-2004	Mukherjee et al.	
		US- 5,950,183	9-1999	Matsumoto, T.	
		US- 6,553,341	4-2003	Mullaly et al.	
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		US- 2005/0081305	4-2005	Lange et al.	
		US- 2002/0007276	5-2001	Rosenblatt et al.	
		US- 5,889,892	03-1999	Saito, Shigeru	
		US- 5,680,481	10-1997	Prasad et al.	
		US- 5,652,689	12-1998	Eleftheriadis et al	
		US- 5,936,628	08-1999	Kamura et al	
		US- 6,078,700	08-2000	Serachik, Karen B.	
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EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1462PPTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/003,084
Filing Date	November 2, 2001
First Named Inventor	Joern Ostermann
Art Unit	2655
Examiner Name	Rivero, Minerva
Attorney Docket Number	2000-0600

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T‡
	1	DECARLO et al, "An anthropometric face model using variational techniques", In Proc. of 25th Annu Conf. on Computer Graphics & Interactive Tech. Siggraph 98, ACM Press, NY, 67-74	
	2	JIAN-GANG WANG et al, "Morphology-based front-view facial contour detection", IEEE Intl Conf on Systems, Man and Cybernetics, Vol 4, October 2000, pgs 2775-2780	
	3	SHINN-YIN HO et al, "Facial modeling fr. an uncalibrated face image using flexible generic parameterized facial models", IEEE Trans. on Systems, Man, & Cybernetics, Pt B, V.31,	
	4	NOH, J. et al, "Expression cloning", In Proc. of 28th Annual Conf. on Computer Graphics and Interactive Techniques, SIGGRAPH 01, ACM Press, NY, NY pg277-288	
	5	LITWINOWICZ, et al "Animating images with drawings" Proc 21st Annual Conf. on Computer Graphics & Interactive Tech. SIGGRAPH 1994, ACM Press, NY, NY 409-412	
	6	YOO, et al "Concept formation in numeric domains" Proc. 1995 ACM 23rd Annual Conf. on Computer Science, ACM Press, NY, NY, 36-41	

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

† Applicant's unique citation designation number (optional). ‡ Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1458, Alexandria, VA 22313-1458.

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